orthovolt IORT. **Material and methods:** Fifty-nine consecutive CRC patients with local recurrence (LR), undergoing surgery, were included in the retrospective analysis of prospectively collected data. The modified Wanebo classification was used to stage LR (Tr). Twenty-five (43%) patients received IORT using INTRABEAM® PRS 500. The complications were classified according to the Clavien-Dindo classification.

gical treatment of recurrent CRC with

Results: There were 32 males and 27 females, with a median age of 63 years. Multi-visceral resections were performed in 37 (63%) patients. Median hospitalization time after surgery with IORT was 7 days. One (1.7%) in-hospital postoperative death was reported. Grade 3/4 postoperative complications were found in 11 (19%) patients. Intraoperative radiotherapy had no effect on the postoperative hospitalization time, morbidity and mortality. Median survival after RO resection was 32 months. Complete resection (R0), no synchronous liver metastases (M0), and no lateral and posterior pelvic wall involvement, were significant predictors of improved survival. Stage of LR was found to be an independent prognostic factor in the multivariate analysis (p = 0.03); Cox regression model). In patients with LR stage < Tr5, a 3-year overall survival (OS) rate was 52%.

**Conclusions:** Combination of surgical resection and orthovolt IORT is a safe and feasible procedure that does not increase the risk of postoperative complications or prolongs the hospital stay. Despite aggressive surgery supported by IORT, the advanced stage of LR is a limiting factor of long-term survival.

**Key words:** colorectal cancer, recurrence, intraoperative radiotherapy, surgery, survival.

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# Intraoperative radiotherapy with low energy photons in recurrent colorectal cancer: a single centre retrospective study

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#### Introduction

In patients with local recurrence (LR) of colorectal cancer (CRC), the potential for a positive resection margin remains high and may have a negative impact on overall survival (OS) [1, 2]. Improved local control and OS rates have been reported when intraoperative radiotherapy (IORT) was used after neoadjuvant chemoradiotherapy for locally recurrent disease in radiation-naïve patients [3]. Besides re-irradiation from external fields, the IORT application is the only option for LR in patients after radiotherapy used for the primary tumour [4]. The only available data for the IORT come from retrospective, single-institution studies [3, 5]. Based on the available literature, national and international reports, AIRO IORT Study Group considered the pelvic recurrence of CRC as the indication for the use of IORT. Surgical resection alone of CRC recurrence is frequently associated with a high risk of residual tumor tissue in the tumour bed [6]. The principle for the use of IORT is to eliminate microscopic tumour foci by maximizing the radiobiological effects of a single dose of radiation and to optimize the treatment duration [7, 8]. The advantage of IORT over the external beam radiotherapy (EBRT) boost is the possibility of administering high dose of radiation while dose-limiting structures, such as the bowel, bladder or ureters, are safely shielded [4]. Moreover, during surgery it is possible to release adhesions, moving normal tissues beyond the irradiation field, thereby protecting them while giving an appropriate dose to the precisely defined surgical bed area with a safe margin [5, 9]. The main advantage of IORT is sterilizing close or positive resection margins [10–12].

Treatment of CRC recurrence is an issue reluctantly undertaken in scientific research, which is also reflected in everyday clinical practice. Due to sparse literature data on the use of orthovolt IORT in the treatment of recurrent CRC, we found it relevant to present the review of our clinical experience in this field. Therefore, the aim of this study was the assessment of early and late results of surgical treatment combined with IORT with low-energy photons in patients with recurrent CRC.

## Material and methods

This is a retrospective analysis of prospectively collected clinical data on patients treated for locally recurrent CRC between January 2004 and December 2011 at the Department of Surgical Oncology of the Medical University of Lublin.

#### Patient selection

Qualification for treatment was undertaken individually for each patient upon the opinion of a multidisciplinary team. The decision was based on patients' general condition, signs of resectability on computed tomography/ magnetic resonance (CT/MR) imaging and prior use of radiotherapy. Patients eligible for surgical resection were divided according to the perioperative risk into low/moderate or high surgical risk groups. In the first group of patients, radical, multivisceral *en-bloc* resections were planned with a possibility of IORT applied *via* laparotomy and/or the perineal/sacral wound. In high risk patients, provided that the localization of recurrent CRC was intraluminal (anastomotic), local transanal excision was performed with the Parks method using Transanal Microsurgical Tumour resection instrumentation (TMT; B. Braun Aesculap, Melsungen, Germany). In these few patients, IORT was also applied transanally in the manner similar to the contact X-ray therapy [13].

#### Radiotherapy use

Patients were qualified for surgical resection with curative intent and IORT. Synchronous (with LR), resectable liver metastases were not contraindication for surgery and IORT. Intraoperative radiotherapy was applied only to the tumour bed after resection, while dose-limiting structures such as the small bowel or ureters were moved from the irradiation field and protected. Intraoperative radiotherapy was performed using a dedicated INTRABEAM® PRS 500 (Photon Photoelectron Radiosurgery System Corporation, Carl Zeiss, Oberkochen, Germany). Operation of the INTRABEAM system is based on the use of a directed, orthovolt X-ray beam (photons with an energy of maximum 50 keV). Spherical shape applicators with diameters ranging from 1.5 cm to 5.0 cm allow precise and uniform dose distribution on the surface of the post-resection lodge. The radiation generated by the system is rapidly attenuated in the soft tissues according to the inverse cubic law  $(1/r^3)$ . This reduces the exposure of normal, surrounding tissues and critical organs and minimizes the need for radiation protection of medical personnel. The radiation dose was prescribed to the surface of the applicator attached in vivo to the tissue of the resection margin. The irradiation time varies depending on the radiation dose and the diameter of the applicator used.

# Stage of the recurrent tumour

The stage of LR of rectal cancer in this study was defined by two classification systems: Wanebo and Suzuki-Gunderson, both based on intraoperative macroscopic tumor features and microscopic evaluation of surgical specimens [14, 15]. For the purpose of this study, the original four-grade Wanebo classification was modified into three stages, where the infiltration of full thickness of the intestinal wall comprised one stage sequence ( $Tr_{1.3}$ ). The remaining grades were identical with the original classification [15]. The Suzuki-Gunderson classification describes four stages of immobilization of the tumor relative to pelvic structures by determining the number of locations with direct invasion [16, 17].

#### Completeness of resection

Completeness of resection was assessed using a standard residual disease (R) classification, according to the Union for International Cancer Control (UICC) [16]. The extent of the surgery was dependent on the age and general condition of the patient, location of LR, co-morbidities and the extent of primary tumour resection. In patients with recurrent tumour directly infiltrating the adjacent organs, extended, multivisceral, *en-bloc* resections were performed. Ureteral stents were placed selectively before surgery only when collision with the urinary system (on preoperative imaging) was suspected. Radicality of resection was evaluable in 57 of 59 patients. The surgical complications were scored according to the Clavien-Dindo classification [18]. Only major surgical complications, grade 3 (requiring surgical intervention), grade 4 (life-threatening), and grade 5 (death of a patient) were reported.

#### Statistical analysis

Statistical analyses were performed using SPSS Statistical Software for Windows version 17.0.2. (SPSS Inc. Chicago, IL). Survival rates were estimated using the Kaplan-Meier curve, and comparisons of survival between groups were made using the log-rank method. Multivariate analysis was performed using the Cox proportional-hazard method. Results of comparisons between surgery with IORT and surgery alone group in terms of postoperative hospitalisation stay and surgical complications were correlated using non-parametric methods (Mann-Whitney *U*-test and the Chi-square test, respectively). *P*-value of < 0.05 was regarded as statistically significant.

# Results

The analysis included 59 patients, who underwent surgical resection of LR, 32 women and 27 men, aged from 26 to 77 years (median 63 years). Eleven patients underwent resection for recurrent colon cancer and 48 patients for rectal cancer. The median time from the first diagnosis of CRC to current treatment of LR was 24 months, assessed in 48 (81%) patients (range: 6–134 months). The follow-up time ranged from 1 to 57 months. The median follow-up time for the entire study group was 11 months and 20 months in surviving patients. Seven of 59 patients (12%) were lost to follow-up due to not reporting for checkups in the Outpatient Clinic. During the follow-up period 35 (59%) patients died.

In patients undergoing resection the following surgical procedures were performed: left-sided hemicolectomy (n = 4), excision of the recurrent tumour (n = 18), Hartmann's resection (n = 6), abdomino-sacral amputation of the rectum (n = 14), anterior resection of the rectum (n = 9), local transanal excision (TMT) (n = 6), and local excision of skin/soft tissue recurrences in postoperative scar (n = 1) and colostomy area (n = 1). Extended resection was performed in 37 out of 59 (63%) patients, and in 5 (8%) cases resection involved more than one organ. Extended resection supplemented with IORT was performed in 16 (64%) patients.

Intraoperative radiotherapy was performed in 25 patients, including 3 patients with recurrent colon cancer and 22 patients with recurrent rectal cancer. The median radiation surface dose was 12.5 Gy (range: 10–17.5 Gy). The median applicator size used was 4 cm (range: 2–5 cm). All Table 1. Treatment characteristics of patients with recurrent CRC who underwent resection with  ${\sf IORT}$ 

Resection type	CR (n = 3)	RR ( $n = 22$ )			
tumour excision	2	7			
left hemicolectomy	1	-			
ASAR	- 3				
hartmann resection	-	3			
LAR	-	3			
TMT	-	6			
IORT					
Dose (Gy) Me, range	15; 12.5–15	12.5; 10–17.5			
Radiation time (minutes) Me, range	21; 14–31	17; 6–47			

CR – recurrent colon cancer; RR – recurrent rectal cancer; ASAR – abdominosacral amputation of the rectum; LAR – low anterior resection of the rectum; TMT – transanal microsurgical tumour excision; IORT – intraoperative radiation therapy; Gy – Grey; n – number of patients

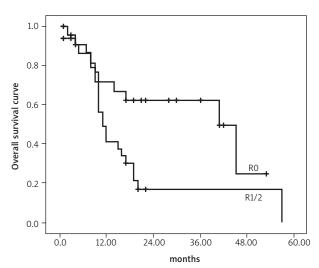


Fig. 1. Survival curves of patients with recurrent CRC according to radicality of resection (R0 vs. R1/2)

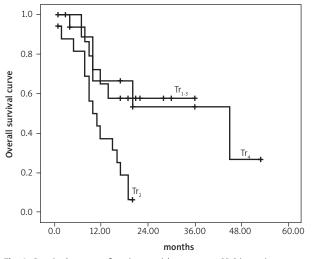


Fig. 2. Survival curves of patients with recurrent CRC in various stages of recurrent tumour according to the modified Wanebo classification (Tr1-3 vs. Tr4 vs. Tr5)

 Table 2. Early postoperative outcome in patients after surgery with

 IORT and after surgery alone

Postoperative	Surgery with IORT (n = 25)	Surgery alone (n = 34)
Hospitalisation time (days) Me; range (without TMT)	10; 4–23 3: 2–5	8; 2–22
TMT (days) Me; range	5; 2-5	
Complications <i>n</i> (%) TMT	4 (16) 0	7 (21)
30-day mortality <i>n</i> (%) 90-day mortality <i>n</i> (%)	0 0	0 1 (1.7)

IORT(+) – surgery with IORT; IORT(-) – surgery alone; n – number of patients; Me – median; TMT – transanal microsurgical tumour resection; values in brackets are percentages

sites irradiated with IORT, were smaller than 5 cm in size. The median duration of IORT was 18 minutes (range: 6-47 minutes).

Comparison of treatment characteristics in patients with recurrent CRC treated with resection combined with IORT is summarized in Table 1.

The median survival time in patients who underwent resection with IORT was 24.0 months, whereas in patients with resection alone was 24.6 months (p = 0.891, log-rank test). The three-year overall survival rate after surgery and IORT was 31% while after surgery alone 37%.

The median discharge time after surgery in patients with recurrent colon cancer was 9 days (range 6–22) and in patients with recurrent rectal cancer 8 days (range 2–23). No intraoperative complications were attributed to IORT. One (1.7%) postoperative death (grade 5 complication) was reported. A female patient after extensive surgery alone died on postoperative day 114, after five re-laparotomies because of recurrent intestinal fistula. The cause of death was septicemia in the course of diffuse purulent peritonitis. No significant differences were found in the time of hospitalization (p = 0.238; Mann-Whitney U test) and incidence of postoperative complications (p = 0.654;  $\chi^2$  test) between patients after surgery with IORT and surgery alone (Table 2).

In the entire group of patients R0 resection was performed in 25 (44%) patients, R1 in 11 (19%) and R2 in 21 (37%). In patients who underwent resection with IORT, R0, R1 and R2 resection rates were 32%, 24% and 44%, respectively. The median survival time of patients after R0 resection was 32.7 months whereas after non-radical resection R1/2 was only 19.1 months. The observed difference was statistically significant (p = 0.018, log-rank test; Fig. 1).

Twelve (20%) of 59 patients underwent simultaneous hepatic resection for synchronous metastases to the liver at the time of surgery for LR. The median survival time of patients with liver metastases was only 11 months. Median overall survival of patients with and without liver metastases was 11.1 and 27.1 months, respectively (p = 0.035, log-rank test).

Stage  $Tr_{1.3}$  of the recurrent tumour according to the modified Wanebo classification was found in 19 (41%) patients,  $Tr_{a}$  in 10 (22%), and  $Tr_{s}$  in 17 (37%) patients. The median survival time of patients with  $Tr_4$  was 31.8 months, with  $Tr_{1.3}$  – 24.8 months and with  $Tr_5$  only 11.4 months. The observed difference was statistically significant (p = 0.006, log-rank test; Fig. 2).

On univariate survival analysis, none of the following prognostic factors was found to be associated with long-term survival: gender, age, time interval to recurrence, extent of resection, location of recurrence, IORT dose and Suzuki-Gunderson staging system. Otherwise, the modified Wanebo classification (nominal variable:  $Tr_{1.4}$  vs.  $Tr_5$ ), radicality of resection (nominal variable: R0 vs. R1/2) and liver metastases (nominal variable: M0 vs. M1) were found significant prognosticators.

Multivariate analysis of the three significant variables that emerged from the univariate analysis (modified Wanebo classification, radicality of resection and presence of liver metastases), revealed that only the stage of LR tumour independently influenced long-term survival (Table 3). Median survival time in patients with  $Tr_{1.4}$  LR was 32 months and 3-year overall survival was 52%.

## Discussion

A significant increase in long term survival of patients with recurrent CRC after IORT has been reported [17, 19, 20]. Radical (R0) resection of LR is associated with at least twice higher survival than in non-radical (R1/R2) resection and remains the treatment of choice [21, 22]. The tumor-free resection margin is a favorable prognostic factor for improved local control and overall survival [19–21, 23–26]. In our study the survival time of patients after R0 resection was longer in comparison to non-radical resection group. This finding is in agreement with the results of meta-analysis by Bhangu *et al.* who found no survival benefit of macroscopically non-radical (R2) resection over non- resection (laparotomy) [21].

Intraoperative radiotherapy allows the administration of a single, high dose of radiation applied during surgery under direct vision [27, 28]. Although the use of IORT as a component of combined multimodal therapy may increase the survival by improving the local control, there are no randomized trials on IORT in CRC [9, 25]. Meta-analysis of the non-randomized reports in the treatment of recurrent CRC showed improved 5-year overall survival after IORT [29]. Moreover, better local control with a lower risk of relapse at higher doses of radiation have been reported with the use of IORT [5, 11]. There are only two reports describing the use of orthovolt IORT in CRC recurrences [10, 23, 40].

Local recurrence of CRC located in the pelvic cavity is associated with a high risk of infiltration of the surrounding bony structures, which drastically reduces the chances of radical resection that usually involves the extended resections of multiple organs [30, 31]. Although extended multivisceral resections were performed in the majority of cases (84%) in this study, no differences in the survival depending on the extent of resection were found, which is consistent with other reports [17, 32, 33].

In our study, only serious postoperative complications requiring re-laparotomy were evaluated. No increase in the complication rate with extended resection was found. In

Table 3. Multivariate	analysis of	prognostic factors
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Prognostic factor	р	Exp (B)	% CI for Exp (B)	
			lower limit	upper limit
modified Wanebo classification	0.030	3.59	1.13	11.33
resection radicality	0.793	0.84	0.23	3.04
distant (liver) metastases	0.219	1.80	0.71	4.57

64% of cases after R2 resection, severe post-surgical complications occurred. Higher postoperative complications rate was found in patients with recurrent rectal carcinoma (21%) compared to patients with recurrent colon cancer (9%). This difference may be attributable to the fact that surgical resection in the group of rectal cancer recurrence is associated with much greater technical difficulties.

In the majority of studies, distant metastases are the criterion for exclusion from the study. However in the present study, 8% of patients who underwent simultaneous resection of liver metastases were included and lived significantly shorter (11 months) than patients with local disease (27 months). Bhangu *et al.* also obtained lower 3-year survival rates in patients with distant metastases (33% vs. 54%) [22].

Moore *et al.* reported that the R0 resection rate was significantly higher when LR was located axially/centrally or anteriorly in the pelvis [34]. Infiltration of pelvic side walls accounted for a lower radical resections rate (60% vs. 19%) [34]. However, the complication rate after extended resection was found to be higher (32%) as compared to standard resection (21%) [32]. In our analysis, the staging of rectal cancer recurrence was assessed according to the modified Wanebo classification. The infiltration of side walls is associated with worse outcomes and obtaining radical resection is then significantly reduced [24, 35]. Median survival in patients with M0, R0 resection was 26 months.

Radiation-induced toxicity is extremely difficult to distinguish from the surgical complication or symptoms of disease progression [36]. The most common types of early postoperative complications are those associated with wound healing 3-46% and pelvic abscesses, intestinal obstructions and fistulas [17, 20, 22, 29, 32, 37]. Postoperative complications vary from 15% to 68% [38]. The percentage of serious postoperative complications ranges from 27% to 81% [20, 21, 28, 35]. The most common complication after IO(E)RT is small bowel obstruction (14%) and pelvic abscesses (12%) [17, 20]. Intraoperative radiotherapy is a technique that does not seem to increase the rate of complications or mortality rates [37]. Postoperative mortality associated with the use of IORT ranges from 0 to 3.6%, irrespective of the IORT used [10, 23, 32]. In the literature, 3-month postoperative mortality rates range from 0% to 8% [4, 26, 28, 29, 37, 39]. In the present study, two postoperative deaths were reported (2.5%); however, none of them was attributed to the use of IORT.

In the present study we demonstrated that RO resection should be the treatment of choice, the presence of (even resectable) liver metastases is associated with poor prognosis, and infiltration of the lateral and posterior compartments of the pelvis is associated with significantly shorter survival. Our experience with the use of orthovolt IORT highlights the need for better strategies of combined therapy and multidisciplinary care for patients with recurrent CRC. Unsatisfactory results in patients treated with IORT arise mostly from the late diagnosis of the LR and inability to obtain the free resection margin [36, 40].

#### Conclusions

Combination of surgical resection and orthovolt IORT is a safe and feasible procedure that does not increase the risk of postoperative complications or prolongs the hospital stay.

The macroscopically non-radical (R2) resection of the LR, even if compensated by orthovolt IORT, is not justified, as the results do not support the hypothesis of the added value of IORT.

Despite aggressive surgery (multivisceral including hepatic resections) supported by orthovol IORT, the advanced stage of LR is a limiting factor of long-term survival.

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